

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Andrew W. Shyjan                      Art Unit : 1642  
Serial No. : 08/862,442                      Examiner : J. Burke  
Filed : May 23, 1997  
Title : COMPOSITIONS AND METHODS FOR THE DIAGNOSIS, PREVENTION  
AND TREATMENT OF TUMOR PROGRESSION

Assistant Commissioner for Patents  
Washington, D.C. 20231

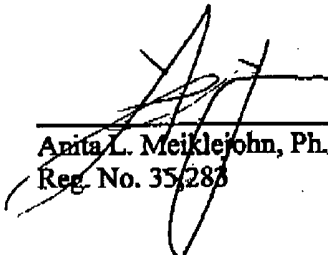
INFORMATION DISCLOSURE STATEMENT

Applicant submits the references listed on the attached form PTO-1449, copies of which are enclosed. This statement is being filed after a final Office action, but before receipt of a Notice of Allowance.

A check for \$240.00 in payment of the late submission fee of \$1.17(p) is enclosed.  
Please apply any other charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

Date: 1 MARCH 2000

  
Anita L. Meiklejohn, Ph.D.  
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## CERTIFICATE OF DELIVERY BY HAND

I hereby certify that this correspondence is being delivered by hand on the date indicated below and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

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Timothy Robinson  
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Sheet 1 of 2

Substitute Form PTO-1449 (Modified)  <b>Information Disclosure Statement by Applicant</b> (Use several sheets if necessary)  (37 CFR §1.98(b))	U.S. Department of Commerce Patent and Trademark Office		Attorney's Docket No. 07334-004002	Application No. 08/862,442
	Applicant Andrew W. Shyjan			
	Filing Date May 23, 1997		Group Art Unit	

U.S. Patent Documents							
Examiner Initial	Desig. ID	Patent Number	Issue Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA	5,710,137	1/20/98	Fisher	514	44	
	AB	5,837,845	11/17/98	Hosokawa et al.	536	23.53	
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

Foreign Patent Documents or Published Foreign Patent Applications							
Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation Yes No
	AL	WO 93/22424	11/11/93	PCT			
	AM						
	AN						
	AO						
	AP						

Other Documents (include Author, Title, Date, and Place of Publication)		
Examiner Initial	Desig. ID	Document
	AR	Bowie et al., "Deciphering the Message in Protein Sequences: Tolerance to Amino Acid Substitutions" Science 247:1306, 1990.
	AS	Burgess et al., "Possible Dissociation of the Heparin-binding and Mitogenic Activities of Heparin-binding (Acidic Fibroblast)..." J. Cell. Biol. 111:2129, 1990.
	AT	Frader et al., "Cell Surface of Human Bladder Cancer Defined by Mouse Monoclonal Antibodies" Proc. Nat'l. Acad. Sci. USA 81:224, 1984.

Examiner Signature	Date Considered
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

Substitute Disclosure Form (PTO-1449)

Sheet 2 of 2

Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 07334-004002	Application No. 08/862,442
		Applicant Andrew W. Shyjan	
		Filing Date May 23, 1997	Group Art Unit
Information Disclosure Statement by Applicant (Use several sheets if necessary)			
(37 CFR §1.98(b))			

Other Documents (include Author, Title, Date, and Place of Publication)		
Examiner Initial	Desig. ID	Document
	AV	Harris et al., "Seminal Vesicle Secretion IV Gene: Allelic Difference Due to a Series of 20-base-pair direct Tandem Repeats within an Intron" Proc. Nat'l. Acad. Sci. USA, 80:6460, 1983.
	AW	Hillier et al., Accession No. N24756, Dec. 28, 1995.
	AX	Kahana et al., "Nucleotide Sequence of Murine Ornithine Decarboxylase mRNA" Proc. Nat'l. Acad. Sci. USA 82:1673, 1985.
	AY	Lazar et al., "Transforming Growth Factor $\alpha$ : Mutation of Aspartic Acid 47 and Leucine 48 Results in Different Biological Activities" Mol. Cell. Biol. 8:1247, 1988.
	AZ	Lewis et al., "The Sequence of the Kluyveromyces Lactis BiP Gene" Nucl. Acids Res. 18:6438, 1990.
	BA	Rieger et al., "Glossary of Genetics and Cytogenetics, Classical and Molecular, Springe-Verlag, Berlin, 4 <sup>th</sup> edition, 1976.
	BB	Schwartz et al., "A Superactive Insulin: [B10-Aspartic Acid] Insulin(Human)" Proc. Nat'l. Acad. Sci. USA 84:6408, 1987.
	BC	Stratagene Catalog, page 39, 1988.

Examiner Signature	Date Considered
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

Substitute Disclosure Form (PTO-1449)

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PATENT

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The Patent and Trademark Office date stamp sets forth the date of receipt of:

Applicant or Patentee Andrew W. ShyjanNo. (Application, Appeal, Interference, Patent, Reexam) 08/862,442Filing or Issue Date May 23, 1997Title Compositions and Methods for the Diagnosis...

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